OIPE JC42 JUN 17 2004 PATENT & TRADEMARK OFFICE

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s/ Julie Agozino
Julie Agozino

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:
STEVEN P. TANIS, ET AL

Serial No.: 10/804,296

Confirmation No.: TBA

Filed: March 19, 2004

For: PROCESS TO PRODUCE ENANTIOMERICALLY ENRICHED 1-ARYL- AND 1-HETEROARYL-2-AMINOETHANOLS

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

Honorable Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

UNDER 37 C.F.R. § 1.97(b) or 1.97(c)

37 CFR § 1.97(b)

☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

37 CFR § 1.97(c)

☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); after three months of the date of entry of the national stage as set forth in § 1.491 in an international application; after the mailing of a first Office Action on the merits; or after the mailing of a first Office Action after the filing of a request for continued examination under § 1.114, but before the mailing date of (1) a Final Action under § 1.113; (2) a Notice of Allowance under § 1.311; or (3) an action that otherwise closes prosecution in the application. The Commissioner is hereby authorized to charge the fee as set forth in § 1.17(p) to Deposit Account Number 500329.

☐ Applicant requests that the Examiner consider the following copending applications:

Application Serial No.	Filing Date

☐ Copies of these copending applications are enclosed.

☒ Applicant hereby requests consideration of the Information Disclosure Statement, USPTO form 1449, submitted herewith. Copies of the cited references, except as noted below, are enclosed.

☐ This application is a continuation, divisional or continuation-in-part of Serial No. [redacted]. Copies of the cited references, if not enclosed, are available in the file of the parent application or parents thereof.

☒ This application was filed after June 30, 2003, or entered U.S. national stage under 35 U.S.C. § 371, after June 30, 2003. Copies of U.S. Patents and U.S. Patent Application Publications are not enclosed. (1276 OG 55).

☐ Applicant hereby requests consideration of the enclosed International Search Report, which was received in a related international patent application.

The Commissioner is hereby authorized to charge any fee deficiency, including any fee required under 37 C.F.R. § 1.17(p), or credit any overpayment, to Deposit Account Number 500329. A duplicate copy of this form is enclosed.

Respectfully submitted,

Date: June 14, 2004

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PTO.SB/08A (04-03)
Approved for use through 04/30/03. OMB 0651-0031
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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

OIPE
JUN 17 2004
PATENT & TRADEMARK OFFICE

Complete if Known	
Application Number	10/804,296
Filing Date	03/19/2004
First Named Inventor	Steven P. Tanis, et al.
Art Unit	TBA
Examiner Name	TBA
Attorney Docket Number	PC27416A

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	Cite No. [1]	DOCUMENT NUMBER Number-Kind Code [2]	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	4,210,750	07-01-1980	Stephen Marburg, et al.	
	AB	5,386,057	01-31-1995	Philippe Le Goff, et al.	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	Cite No. [1]	Foreign Patent Document Country Code [3] Number [4] Kind Code [5] (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T [6]

EXAMINER:	DATE CONSIDERED:

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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NON PATENT LITERATURE DOCUMENTS

	AC	BENEDETTI, et al., "Facile Inversion of Configuration of *N*-Boc-β-aminoalcohols via S_N2 Cyclization to Oxazolidinones," *Tetrahedron Letters*, 2000, 10071-10074, Vol. 41, No. 51.	
	AD	COX, G. B., "Chiral Chromatography," *Innovations in Pharmaceutical Technology*, 2001, 131-137, Vol. 1, No. 8.	
	AE	FUJI, et al., "Ruthenium(II)-Catalyzed Asymmetric Transfer Hydrogenation of Ketones Using a Formic Acid—Triethylamine Mixture," *Journal of the American Chemical Society*, 1996, 2521-2522, Vol. 118, No. 10.	
	AF	ISHIZUKA, et al., "Mild and Selective Ring-Cleavage of Cyclic Carbamates to Amino Alcohols," *Tetrahedron Letters*, 1987, 4185-4188, Vol. 28, No. 36.	
	AG	ISSAQ, H. J., "The Book Corner," *Preparative Biochemistry & Biotechnology*, 2000, 79-83, Vol. 30, No. 1.	
	AH	KATZ, et al., "Convenient Methods for the Hydrolysis of Oxazolidinones to Vicinal Aminoalcohols," *Tetrahedron Letters*, 2002, 557-559, Vol. 43, No. 4.	
	AI	MARCH, et al., Advanced Organic Chemistry: Reactions, Mechanisms, and Structure, 1968, 251-375, McGraw-Hill, New York.	
	AJ	NOYORI, et al., "Asymmetric Transfer Hydrogenation Catalyzed by Chiral Ruthenium Complexes," *Accounts of Chemical Research*, 1997, 97-102, Vol. 30, No. 2.	
	AK	PALMER, et al., "Asymmetric Transfer Hydrogenation of C=O and C=N Bonds," *Tetrahedron: Asymmetry*, 1999, 2045-2061, Vol. 10, No. 11.	
	AL	VEDEJS, et al., "Substituted Isoquinolines by Noyori Transfer Hydrogenation: Enantioselective Synthesis of Chiral Diamines Containing an Aniline Subunit," *Journal of Organic Chemistry*, 1999, 6724-6729, Vol. 64, No.18.	

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